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WSC Group (Aust) Private Wealth Pty Ltd Financial Services Guide

ABN 33 660 152 349 | **Authorised Representative No**: 1298591 **Version number: 24.02**

PART TWO – ADVISER PROFILE

This adviser profile is Part Two of the Count Financial Limited (Count) Financial Services Guide (FSG) dated 01 March 2024 and should be read in conjunction with Part One of our FSG dated 1 March 2024. Together these documents form the complete FSG.

WSC Group (Aust) Private Wealth Pty Ltd is an Authorised Representative of Count Financial Limited (Count Financial)

Our firm's advisers listed below will provide the financial services set out in this guide, in their capacity as Authorised Representatives of Count.

Our contact details:

Address: Unit 11, 800-812 Old Illawarra Road, Menai NSW 2234

Phone: 1300 365 125

Email: financialplanningteam@wscgroup.com.au

Web: www.wscgroup.com.au

Matt Pack

Authorised Representative Number: 263494

Matt is an Authorised Representative of Count Financial and a director of WSC Group (Aust) Private Wealth Pty Ltd. He receives a salary.

Matt has been working in the financial services industry since February 2000. He is a CPA, Certified Financial Planner® Professional, and ASX Accredited Listed Products Adviser. He holds an Advanced Diploma of Financial Services (Financial Planning) and a Master of Applied Finance degree, with distinction, from Charles Sturt University.

Matt specialises in wealth accumulation and retirement planning. He has expertise in helping clients build & protect wealth, plan successfully for retirement and manage life transitions.

Matt has broad experience with self-managed superannuation funds and listed investments including direct shares, exchange traded funds and other listed securities.

He provides specialised advice on Aged Care and Self-Managed Superannuation Funds.

Qualifications:

- Certified Financial Planner (CFP™)
- Certified Practicing Accountant (CPA)
- CPA Financial Planning Specialist
- Advanced Diploma of Financial Services (Financial Planning)
- Master of Applied Finance with Distinction (Charles Sturt University)

Matt is authorised to provide advice in the following areas:

- Deposit and payment products
- Government debentures, stocks and bonds

- Life products
- Managed investment schemes
- Margin Lending
- Retirement Savings Accounts
- Securities
- Superannuation

How to contact me: matt.pack@wscgroup.com.au or 1300 365 125

Jessica Beck

Authorised Representative Number: 1270728

Jess is an Authorised Representative of Count Financial. She receives a salary.

Jess has been working in the financial planning industry since 2017. Starting her career at WSC Group as a receptionist, Jess has continued to develop her technical competency and expertise, by undertaking a variety of roles within the firm over the past 9 years. Jess has a broad understanding of how WSC Group operates as a business, foregrounded by her years of dedication to the firm.

During the last 5-6 years, her focus has been on the Private Wealth side, which initially began with arranging meetings and implementing advice as a Client Service Officer, then preparing advice documents as a Paraplanner and now, providing personal advice to our clients as a Financial Adviser.

In addition to the areas of advice mentioned below, Jess can also provide specialised advice on Aged Care and Self-Managed Superannuation Funds.

Qualifications:

- Bachelor of Arts
- Diploma of Financial Planning
- Graduate Diploma of Financial Planning
- Master of Financial Planning

Jess is authorised to provide advice in the following areas:

- Deposit and payment products
- Government debentures, securities and bonds
- Life products
- Managed investment schemes
- Retirement Savings Accounts
- Securities
- Superannuation

How to contact me: Jessica.beck@wscgroup.com.au or 1300 365 125

David Shaw

Authorised Representative Number: 001245738

David is an Authorised Representative of Count Financial and a director of WSC Group (Aust) - Private Wealth Pty Ltd. He receives a salary.

David Shaw has been working in Public Practice Accounting and Financial Services since 2002 and has had various Corporate Accounting roles between 1984 and 2002. His role as Principal of WSC Group has been since 1 July 2004.

In addition to the areas of advice mentioned below, David can also provide specialised advice on Self-Managed Superannuation Funds. David has completed a Graduate Diploma of Financial Planning with Kaplan Professional and has also passed the financial adviser exam.

Qualifications:

- Bachelor of Business
- Certified Practicing Accountant (CPA)
- Certified Practicing Accountant - Public Practice Certificate (CPA)
- Registered Tax Agent

David is authorised to provide advice in the following areas:

- Deposit and payment products
- Government debentures, securities and bonds
- Life products
- Managed investment schemes
- Retirement Savings Accounts
- Securities
- Superannuation

How to contact me: david.shaw@wscgroup.com.au or 1300 365 125

James Miller

Authorised Representative Number: 1002600

James is an Authorised Representative of Count Financial. He receives a salary.

James has been working in the financial services industry for over 40 years, with the last 15 years specialising in Financial Planning. He holds the CFP Designation, and a master's degree in financial planning.

James specialises in wealth accumulation and retirement planning. He has expertise in working with clients to build & protect wealth, meet important lifestyle goals, plan successfully for retirement and manage life transitions.

Qualifications:

- Master Financial Planning
- Certified Financial Planner (CFP™)
- Diploma of business
- SMSF Specialist Advisor

In addition to the areas of advice mentioned below, James can also provide specialised advice on Self-Managed Superannuation Funds.

James is authorised to provide advice in the following areas:

- Deposit and payment products
- Government debentures, securities and bonds
- Life products
- Managed investment schemes
- Retirement Savings Accounts
- Securities
- Superannuation

How to contact me: james.miller@wscgroup.com.au or 1300 365 125

Advice preparation and implementation fees	Prior to the provision of personal advice, we will agree upon a preferred payment option and disclose how our fee is calculated. Below is a summary of our available payment options that can be combined to pay for our services. We will provide you with a quote for our services before we undertake any work on your behalf. These fee options include: **Time based charging** i) Initial discussions and meetings at which General Advice is provided are charged at an hourly rate of **$385 per hour** (incl. GST). The minimum cost for a General Advice consultation is **$550 per hour** (incl. GST). If extra charges apply, we will inform you before proceeding with any meeting. Price can vary depending on scope and complexity of the advice and we will provide you with an estimate of the overall cost. If extra charges apply, then we will inform you before proceeding with any work. **Service based charging** ii) The fee for the preparation of our advice is calculated based upon a fixed price agreement. This fixed dollar amount will vary based upon the scope and complexity of advice being provided and will be agreed upon prior to commencement. Our minimum fee for this is $2,660 and costs range up to $9,350 (incl. GST) for complex matters. If extra charges apply, we will inform you before proceeding with any work. **Implementation of Advice** iii) The costs to implement our advice are included in our Ongoing Service offers. Where no ongoing service is in place, a quote for implementation will be provided, based on the relevant hourly rates. Where investment portfolio implementation is involved, the cost will include a charge based on 0.33% of the investments being placed. **If you decide not to implement our recommendations, the fee for the preparation of the Statement of Advice will be payable in full.**
Supplementary service fees	For supplementary services, such as the provision of general research material or the completion of administrative tasks, our fee will be calculated on a time basis of $220 per hour (incl. GST).
Ongoing & Fixed Term service fees	Our ongoing advice fees vary depending on scope and complexity and generally range from $4,000 p.a.to $30,000 (incl. GST) unless otherwise agreed. The exact cost of the ongoing review service will depend on the review offering we recommend, and this will be disclosed within the Ongoing Service Agreement we provide to you. **Strategic Advice Reviews (Accumulation phase or multiple strategies)** Fixed Fee from $2,580 p.a. ($215 per month) including GST. **Strategic Advice Reviews (Retirement Phase)** Fixed Fee from $1,980 p.a. ($165 per month) including GST. **Superannuation and Investment Portfolio Reviews** A fixed fee p.a. based on 0.33% of the value of your investment portfolio at the start of your service period. This cost is in addition to the applicable Strategic Advice fee referred to above. Should you require any additional services outside of any agreement between you and your adviser, an amount of up to $385 per hour (incl. GST), may be applied.
Non-advised transaction fees	If we assist you on an execution only basis (i.e. where you have been offered and declined advice), a fee of up to $385 per hour (incl. GST) will be applicable. A fixed fee p.a. based on 0.33% of the value of your investment portfolio will also apply.
Referral arrangements	I may refer you to a third party for advice or services. Should this occur, you are not obliged to consult the professional person I have suggested. We do not receive any remuneration from any third parties we may refer you to.

Date of preparation: 1 March 2024

Other associations and relationships	WSC Group (Aust) Private Wealth Pty Ltd is wholly owned by WSC Group Aust Pty Ltd, a firm that provides accounting, taxation, business advisory and Self-Managed Superannuation Fund (SMSF) administration services. Matt Pack, Jessica Beck and David Shaw are shareholders in WSC Group Aust Pty Ltd and may receive a benefit should you use their services. David Shaw is also a shareholder in WSC Group Audit Pty Ltd and may receive a benefit should you use their services. Matt Pack and David Shaw are directors of WSC Group (Aust) Private Wealth Pty Ltd. David Shaw is a director of WSC Group Accounting Pty Ltd, as well as WSC Group Aust Pty Ltd.
Other third-party payments we may receive	We may also receive the following fees, which are not payable by you. **Stamping Fees** Where we facilitate Share Placements and Initial Public Offers in relation to ASX-listed Direct Equities (i.e. Shares - this excludes Listed Investment Companies and Trusts), we may receive up to 1.5% of the transaction value as “stamping fees”. **Insurance Commissions** Where we facilitate the acquisition, or modification of a Life Insurance product, we may receive commissions as described in Part 1 of this FSG. Where we provide advice in relation to the above transactions and charge an advice fee, we may choose to rebate part or all of the third-party payment, to you.

Date of preparation: 1 March 2024